

QUESTIONS and RESPONSES- CENTRAL BANK SERVICES RFP 10-003

1. Can you further explain and describe the use of the "Automated Standard/or Non-Standard Calculation"

Response:

This refers to a bank program titled, "Standing Orders Funds Transfer Initiation (SOFTI)" which is a regularly scheduled movement of funds by ACH or wire transfer from one bank to another bank.

"Standard" calculation refers to each time a scheduled calculation is made for the movement of funds and is charged to calculations that were originally developed and released as part of the SOFTI product launch.

SOFTI "non-standard" is a fee for calculations that were developed by technology based on specific client requests.

2. Of your Credits and Debits, how many of each during this period is electronic?

Response: During the period March 2009 to February 2010 the number of electronic items are as follows:

Credits – 18,887

Debits – 3,032

3. Can you further explain and describe "IRD Period One, IRD Period Two, IRD Period Three, Group One, Group Two"

Response:

Image Replacement Document (IRD) is part of the check conversion process used to deposit business checks.

IRD Period One, Two and Three refer to the time of day the items are received by the depository bank for processing and may require different pricing. The time periods can be found in Section 13 – Check Conversion Services of Attachment H – Pricing Grid.

4. Please provide the average/or range of the Average Collected Balance for this period.

Response:

The average collected balance in the central depository bank account for the period March 2009 to February 2010 was \$57,772,893.

QUESTIONS - CENTRAL BANK SERVICES RFP 10-003

5. Did you have a targeted balance for the Main Operating Account?

Response:

The target for the central depository account bank ledger balance is \$50 million.

6. Please provide the average/or range of Earnings Credit Rate (ECR) for this period.

Response;

See attachment titled, "Average Earnings Credit Rates"

7. Please provide the average or range of Banking Service Fees for this Period.

Response:

The average of banking service fees for the period March 2009 to February 2010 was \$219,702 per month.

8. Please provide the current "Per Item" fee for each Banking Service Fees.

Response:

See attached Pricing Grid for the contract ending October 31, 2011

9. Please provide the details of any client fees for Direct Pay Card, and Payroll Card.

Response:

See attached Pricing Grid – Direct Pay Card Services-Cardholder Fee Schedule and Exhibit I – Direct Payment Card Services.

10. Please provide the fees for the Collateral/Safekeeping Services.

Response:

A schedule of the monthly custodial fees for the central depository bank account for the period March 2009 to February 2010 is attached.

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11. Will you further explain and describe the "Health Care Link - Provider File"

Response:

See Attached titled," Exhibit Q – Healthcare Link Processing Services"

12. Can you provide the make and model of your IDD machines?

Response:

Treasury does not have the make and model number of the IDD machines. The IDD machines are purchased by the user agencies through the Office of State Purchasing.

13. Will the contract be awarded to 1 fiscal Agent Bank?

Response: Yes

Response to Question # 6
Central banking services RFP 10-003
Average Earning Credit Rates
for the Period March 2009 through February 2010

Month	Average Monthly Rate
March-09	0.50
April-09	0.45
May-09	0.45
June-09	0.45
July-09	0.45
August-09	0.45
September-09	0.40
October-09	0.35
November-09	0.35
December-09	0.35
January-10	0.35
February-10	0.40

Response to Question #5

EXHIBIT I DIRECT PAYMENT CARD SERVICES

The Bank may provide to the State a Direct Payment Card program ("Program") in accordance with the following terms. Capitalized terms used herein and not otherwise defined shall have the meaning specified in the Agreement.

1. The Bank will require each person desiring to participate in the Program to enroll in a manner consistent with Bank policy ("Enrollment") and to receive a copy of the terms and conditions associated with the use of the payment card ("Terms & Conditions"). The Bank shall not accept any deposit of payments ("Entries") by the State to an Account of any person prior to accepting an Enrollment.

Upon receipt and acceptance by the Bank of the Enrollment, the person shall be deemed enrolled in the Program ("Cardholder"). Bank shall open a Direct Payment Card Account in the Cardholder's name and issue a Direct Payment Card to the Cardholder. The Direct Payment Card will give the Cardholder electronic access to his or her Direct Payment Card Account.

2. State. The State shall send funds to be allocated to the Cardholder's Direct Payment Card Account to the Bank via ACH or wire transfer in accordance with the respective money transmission services' terms and conditions. In the event that any Cardholder terminates his or her participation in the Program or such Cardholder's participation is terminated for any reason, the State will cease funding the Direct Payment Card Account for that Cardholder.

3. Card. The Bank will issue all necessary new and replacement Chase Direct Payment Cards to the Cardholders, in such form as the Bank determines, and with such ATM, POS and other marks or logos on them as the Bank determines. The Bank may change its affiliation with any ATM or POS networks only with permission of the State.

Response to Question #6
Bank Collateral/ Safekeeping Services
for the Period of March 2009 through February 2010
Central Depository bank account - Main account

<u>Month</u>	<u>Collateral Safekeeping Services</u>
March-09	\$20,329
April-09	20,107
May-09	21,033
June-09	20,846
July-09	20,063
August-09	19,460
September-09	19,107
October-09	19,169
November-09	20,293
December-09	19,047
January-10	19,196
February-10	18,191
Totals	\$236,841
12 mo. aver.	\$19,737

Response to Question # 6

EXHIBIT Q HEALTHCARE LINK PROCESSING SERVICES

1. Service. The Bank may provide the State with some or all of the services described below as part of the Bank's Healthcare Linksm Service:

(a) **Paper Remittance Processing** – Receipt and processing of **paper** remittance information for healthcare claim payments (commonly referred to as Explanations of Benefits, or "EOBs"), scanning EOBs to electronic image files, transmission of image files to State, other Bank processing facility, and / or a third party processing provider for, the Bank, conversion of EOBs to electronic data (via ICR or other automated method), and manual data entry and/or correction of converted data

(b) **Electronic Remittance Processing** – Receipt and processing of **electronic** format remittance data (Electronic Remittance Advices, or "ERAs"), typically, but not always received via standard Electronic Data Interchange (EDI) file transmissions whether it be received directly by JPMorgan from an Insurer, via a claims processing clearinghouse, or through some other third party processor.

(c) **Payment Receipt** – Receipt of payment transactions either through processing of paper checks through State lockbox services or Electronic Funds Transfers (EFT or ACH) into State's account

(d) **Remittance Data** – Bank will transmit and deliver to the State, as agreed, certain information supplied by the Payer that is related to Transfers or Payments ("Remittance Data"). Remittance Data will be transmitted electronically to State. The format and timing of Remittance Data transmission(s) will be as agreed by State and will be finalized and tested as part of the Bank Implementation process and will be documented in the operating guide provided.

(e) **Re-Association** – If and only if Payment Receipt data is available, JPMorgan will attempt to match, or re-associate payment data to data extracted either from Paper or Electronic Remittances. An indication of payment match, if available, will be included in the Remittance Data transmitted to State. Remittance Data and funds may be transmitted using different Processors or systems.

(f) **Web Presentment** – Presenting of images of EOBs as well as renderings of ERAs to State via a web interface.

(g) **Workflow Services** – State may elect to utilize the Bank web interface for performing certain online claim payment remittance management activities or other workflow tasks such as management of denials or secondary claim submission. These services will be made available online, if requested by State.

The provisions of the Bank's account documentation, including account terms and conditions governing the operation of business accounts and services, as well as other service terms ("Account

Documentation") are incorporated into these Service Terms by reference. This other Account Documentation may include, but is not limited to, Lockbox processing services, ACH receipt and information reporting services, and other treasury or commercial banking services, and is subject to the terms provided for these other services, as applicable. By signing the applicable Account Documentation or by using or continuing to use the Service, the State agrees to these Service Terms. Capitalized terms used herein and not otherwise defined shall have the meaning specified in the Agreement.

The Bank will provide these services to State, and the Bank may, in its sole discretion, utilize the services of multiple facilities, including use of third-party processing agents for some or all of the processing or performance of the Services provided. Bank may terminate the Services, including the ability of the State to access Images of checks and documents as provided in Section 3 below, if any third-party processing agent ceases to provide some or all of the processing or performance of the Services being provided under these Service Terms.

2. HIPAA Compliance. Specific to this processing and subsequent electronic transformation of EOBs, as well as data consolidation of ERA and EOB data, which may contain Protected Health Information (PHI), the Bank offers these services to State contingent upon the State entering into a HIPAA Business Associate Agreement with the Bank. In the event the Bank chooses to work with designated agent(s) or other third party service providers, the Bank will have appropriate controls, procedures, and related HIPAA documentation in place with such third parties, including HIPAA Business Associate Agreements, if applicable.

3. Standard Reports & Data Retention. The Bank will provide the State with a record of all Items processed and other related documents in accordance with the options selected by the State. Images of checks will be retained at the Bank for a minimum of 7 years. Images of checks and documents will be retained and accessible online for up to 10 years at State's election, at a monthly cost per image. Original source documents (EOBs and supplementals) will be retained by the Bank for 45 days and then automatically destroyed unless State has selected the option to have the original source documents sent to the State.

4. Image Option. If the State elects and this image option is available at the designated Bank processing location, the Bank will provide images of the Items ("Imaged Items") received together with images of related documents ("Imaged Documents"), through the media ("Delivery Media") and at the intervals agreed upon between the Bank and the State. The State may receive additional service material or service terms, including user guides, Software Licenses and other terms in connection with the selection of this option.

5. Security. The Bank has specified security procedures ("Security Procedures") for receiving and accessing Imaged Items, Imaged Documents, data and image transmissions, and lockbox transaction data. The State will comply with the Security Procedures, as the Bank may revise the same from time to time, in order to receive Imaged Items, Imaged Documents, data and image transmissions, and lockbox transaction data. The Bank is not obligated to send any images or data or allow access through the Delivery Media to any images or data, which are not requested or accessed in accordance with the Security Procedures. The State acknowledges that once it has received or

accessed images by any Delivery Media, persons having access to the State's computers and image archives may have access to the Imaged Items, Imaged Documents, data and image transmissions, and lockbox transaction data.

6. Third Party Provider of State. In situations where the State has requested that the Bank work with the State's third party provider ("State's Agent") with regard to the receipt and processing of the State remittance information for healthcare claim payments in relation to this Service, State agrees that Bank has no responsibility or obligation to inquire as to the authority of State's Agent or with regard to any action taken or not taken by State's Agent on State's behalf. State authorizes the Bank to communicate directly with State's Agent on all matters related to the Service on behalf of and for the benefit of the State.

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Appendix II - Pricing Grid for central banking services agreement

RFP #06-001

State of Louisiana

State Treasurer's Office

Contract date begins 11-01-06

Items added per Amendment #1 - #5

Revised 12/28/10

<u>BANKING SERVICES</u>	<u>BANK'S CAP CODE</u>	<u>DESCRIPTION OF EACH LINE ITEM</u>	<u>PRICE PER ITEM</u>
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For other services not requested in the RFP but which might be deemed required at a future date, the Bank agrees to negotiate a suitable fee to the State Treasurer, not to exceed our current published rates at the time of such request(s).

	Earnings Credit Rate			Rate of interest paid to Treasury on funds on deposit at the bank	91 Day Treasury Discount Rate Plus 30 BPS
1	Account Services			The bank accounts setup for Treasury and state agencies to be used for receipt and disbursement of monies	
	Account Maintenance	1005		Monthly maintenance fee for an account analysis for each bank account	6.0000
	ZBA Master Account-Maint	1055		Monthly fee for a zero balance (ZBA) concentration bank account	0.0000
	ZBA Sub Account-Maint	1065		Monthly fee for a zero balance (ZBA) subsidiary account. A subsidiary account is an account which receives its funding from a zero balance (ZBA) bank account.	6.0000
	Statement Photocopy	1025		Photocopy of a customer's statement for a commercial Demand Deposit bank Account (DDA)	5.0000
2	Banking Center Services			Fees charged by Chase Bank for verification of the cash portion of agency deposits made to a branch office of Chase Bank. The state agency can either place the deposit in the night drop box or stand in a teller line. If the state agency chooses to stand in the teller line there is a costs to immediately verify the deposit amount by the teller.	
	Branch Credits Posted	1306		Deposits made by the agency with a teller at the bank	0.5000
	Branch Deposit Immediate Verification	1314		Dollars deposited at the teller line and verified at the time the deposit is brought to the banking center.	0.0015
	Branch Deposit Post Verif	1327		Dollars deposited by a courier to the bank's depository vault. The deposit amounts are verified by the bank later in the day as opposed to immediate verification by a bank teller.	0.0010
	Branch Coin Dep Par or Mix Bag	1316		Deposit which includes partial bags of same or mixed denominations of coins.	2.0000
	Branch Order Currency Strap	1319		Customer purchase of strapped currency (ex. 100 count of \$1 bills to be used by agency to make change for customers).	0.3500
	Branch Order Coin Roll	1318		Customer purchase of rolled coin. (ex. five rolls of pennies to used by agency to make change for customers)	0.1200

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	Branch Deposit In Non Std Bag	1320	Fee for deposit of cash which is not enclosed in a "dual pouch tamper evident" bag (if not in tamper proof bag requires additional bank time to validate deposit).	2.0000
3	Vault Services		This section provides for agency deposits delivered to the Vault via armored carrier and coin and currency orders processed through the Vault. Fees for deposits vary based on how the deposit is prepared - standard straps (100 bills of the same denomination) vs. non-standard straps and standard bag (bag requirements set by Chase and communicated to depositing agencies) vs. non-standard bag. Fees for orders vary by order requirement - standard strap vs. non-standard strap and coin roll.	
	Vault Deposit per \$1000.00	1459	Fee for deposit of currency charged at a fee per \$1,000 deposited in lieu of service codes 1486 & 1482.	0.0000
	Vault Dep Non Std Strap Notes	1486	Currency deposited at Chase Bank by a state agency that does not meeting the standard strap requirements outlined in code 1482, see below for description.	0.0500
	Vault Deposit Std Strap Notes	1482	This is a fee per note deposited in wrappers of 100 count of the same denomination which meets the standard strap requirements.	0.0060
	Vault Order Currency Std Strap	1497	Fee per strap that is federal reserve deposit ready. Each strap contains 100 bills of the same denomination. Code 1495 will also apply.	0.3500
	Vault Standard Orders	1495	Fee for orders phoned in over a Voice Response Unit (VRU) by a state agency for coin or currency.	1.0000
	Vault Order Coin Rolls	1476	Fee per roll of coin rolls ordered by the agency. Code 1495 will also apply.	0.1200
	Vault Order current Non Standard Strap	1499	Fee for each bill in a non standard strap ordered by agency (A non standard strap means a request for a number of bills that do not equal 100). Code 1495 will also apply). (Ex. agency requests 54 \$100 bills to be used to make change for customers)	0.0350
	Vault Deposit Extended Hours	1462	Monthly fee for use of Chase Bank's courier to pick and deliver deposits and extending usual vault deposit cut off time which is 5:00pm to allow armored couries to make cash deposits after hours for agencies.	1,000.0000
	Vault Deposit Receipt Mailers	1461	Bank receipts for deposits made by the courier to the bank vault which are mailed to the agency.	0.0000
	Vault Deposit IN Non Std Bag	1493	Fee for an agency who deposits coin and/or currency in a non-standard bags. Non-Standard Bags may be cloth; canvas; or plastic which do not meet bag specifications - bag does not contain dual pouch; improperly prepared deposit; or cash mixed with checks or deposit slip. All other activity fees apply.	2.0000

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	Vault Activity Report Faxed	1545	This is a monthly fee charged to agencies who request the bank to fax activity reports to the agency. These reports included deposits detail; summary; and/or envelope reports.	25.0000
	Vault Deposit Adjustment	1617	If the bank has to adjust the amount recorded on the deposit slip, the bank charges a fee per adjustment. All other activity volumes apply.	5.0000
4	Retail Lockbox ("RLB")		Retail lockbox is used by agencies with a high volume of deposits where the customer remits with the payment a remittance advice that is read with an optical character recognition device and the information read can be sent to the agency electronically	
	Rlb Maintenance	1815	Monthly maintenance fee per lockbox.	250.0000
	Rlb Image Capture Maintenance	1933	Monthly fee for capturing images of items received in the lockbox	25.0000
	Rlb Image Archive Maintenance	1936	Monthly fee for archiving images of payments received in the lockbox and processed by the bank	25.0000
	Rlb Retail Item	1822	Fee for each payment received in the lockbox and processed by the bank	0.1800
	Rlb Multiples	1839	Fee for processing payments received in the lockbox which are accompanied by multiple remittance documents or multiple checks with a single remittance advice.	0.3200
	Rlb Correspondence	1833	Fee charged by Chase Bank for handling each item received which may include customer hand written notations on the remittance advice that the Bank records and delivers to the state agency.	0.2500
	Rlb Courier Deposit	1866	Payment pkgs delivered to lkbx site via courier or customer instead of US Mail	10.0000
	Rlb Check Image capture	1934	Fee for imaging each check received in the lockbox. The Imaging of the checks are made available to the agency either on-line or the bank may electronically delivery the images to the agency	0.0300
	Rlb Doc Image Capture	1935	Fee for imaging each remittance document received in the lockbox. The images of the remittance documents are made available to the agency either on-line or the bank may electronically deliver the images to the agency	0.0300
	Rlb Special Handling	1841	Fee per transaction for special handling of items received in the lockbox. Example, bank staff review of restrictive notations on the checks such as "paid in full" or handling special sizes.	0.0000
	Rlb File Transmission	1907	Monthly fee per each retail lockbox for the electronic transmission of details of the payments received in the lockbox.	150.0000

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	Rlb Image Transmission Maint	1997	Monthly maintenance fee per each retail lockbox for the electronic transmission of images of checks and remittance documents received in the lockbox.	25.0000
	Rlb Image Transmission Record	1998	A per item fee for the electronic transmission of images of checks and remittance documents received in the lockbox to the agency.	0.0300
	Rlb CD ROM	1939	Fee for each CD of images of checks and remittance documents sent to the agency.	20.0000
	Rlb Image Storage First Month	1937	Per item fee for each check and remittance document placed in an on-line archive for on-line viewing by the agency.	0.0200
	Rlb Long Term Check Storage (10 years)	1975	Web delivery long term storage for Regional Retail Lockbox. Count of CHECKS stored. 10 year archive storage instead of agencies paying for and storing physical CDs	0.0300
	Rlb Long Term Doc Storage (10 years)	1976	Web delivery long term storage for Regional Retail Lockbox. Count of DOCUMENTS stored.10 year archive storage instead of agencies paying for and storing physical CDs	0.0300
	Rlb Unprocessable Item	1874	Per item fee for the bank handling checks received in the lockbox that cannot be deposited because the checks do not meet negotiability requirements or other requirements defined by the agency.	0.5000
4	Wholesale Lockbox ("WLB")		Wholesale lockbox is used by agencies who usually have a low volume of deposits and the customer remits with the payment a remittance advice that requires the bank to data entry information from the remittance document and tranmit the information to the agency.	
	WLB Lockbox Maintenance	1801	Monthly maintenance fee for each lockbox	75.0000
	WLB Image Online Maintenance	2063	Monthly fee for each lockbox for website for on-line veiwing by the agency.	25.0000
	WLB Image Capture Maintenance	2064	Monthly fee for each lockbox to capture images of checks, remittance documents, and correspondence etc. which are made available for on-line viewing by the agency.	25.0000
	WLB Wholesale Item with copy	1942	Fee per check received in the lockbox and processed by the bank and for providing the agency a copy of the check	0.3800
	WLB wholesale Item without copy	1941	Processed item; no copy of the check. Less expensive per item processing included with long term image archive instead of 1942 with copy above	0.3400
	WLB Correspondence	1832	Fee for handling each remittance document received in the lockbox without a check	0.2500

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WLB Check Image Capture	2061	Fee for imaging of each check recieved in the lockbox and for on-line delivery to the agency.	0.1000
WLB Document Image Capture	2062	Fee for each remittance document received in the lockbox and made available to the agency for on-line viewing.	0.2000
WLB Check MICR Capture	1904	Fee for capturing data contained on the MICR line of each check received in the lockbox	0.1000
WLB Data Capture Numeric	1954	Fee for each keystroke to enter pyament information received in the lockbox with the check	0.0075
WLB Data Capture Alphanumeric	2052	Data entry of remittance information which includes alpha characters. Same as 1954 above, but includes alpha characters	0.0075
WLB File Transmission	1931	Monthly fee per lockbox in which Chase Bank remits information received in the lockbox to the agency by electronic transmission.	150.0000
WLB Postage	1952	Fee for returning to the agency check copies, original remittance documents, correspondence etc. received in the lockbox via first class US mail.	0.0600
WLB Branch Delivery	1885	Fee for returning check copies, original remittance documents, correspondence etc, via the Bank's internal mail service to a Bank branch which is in close proximity to the agency for pickup by the agency..	65.0000
WLB Image Transmission Maintenance	2000	Monthly fee per lockbox thta provides the electronic transmission of images of documents received in the lockbox	25.0000
WLB Image Transmission Record	2001	Fee per item to electronically transmit images of checks, remittance documents etc. to the agency.	0.0300
WLB CD ROM	2060	Fee for providing images of checks, remittance documents, etc. on CD to the agency after the images are no longer available for on-line veiwing by the agency.-line.	20.0000
WLB Image Storage First Month	2065	Fee for storing images of checks, remittance documents, etc. for on-line viewing by the agency for 45 days.	0.0300
WLB Long Term Check Storage (10 years)	1973	Wed delivery long term storage for Regional Wholesale Lockbox. Count of CHECKS stored. 10 year archive storage of checks vs. physical CDs. Price neutral from 2065 above which provides 45 day storage	0.0300
WLB Long Term Doc Storage (10 years)	1974	Web delivery long term storage for Regional Wholesale Lockbox. Count of DOCUMENTS stored. 10 year archive storage of checks vs. physical CDs. Price neutral from 2065 above which provides 45 day storage	0.0300
WLB Image Storage Additional Month	2066	Fee for storing images of checks, remittance documents, etc. for on-line viewing by the agency after 45 days.	0.0050
WLB Unprocessable Item	1873	Per item fee for the bank handling checks received in the lockbox that cannot be deposited because the checks do not meet negotiability requirements or other requirements defined by the agency.	0.2500

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	Lockbox Credit Card Maintenance	1852	Monthly maintenance fee for credit card payment processing in lockbox	75.0000
	Lockbox Cred Card Per Item	1853	Payments via credit card authorizations in lockbox	0.9000
5	Depository Services		This Section includes the deposit of checks by state agencies, the encoding of the MICR line and dollar amount and processing notice of returns due to non sufficient funds or closed bank account etc.	
	Credits Posted	1305	Fee for each credit posted to a bank account (for example: deposit; interest; credit adjustment)	0.0500
	Dep Checks on us	501	Fee for each check deposited which is drawn on a Chase bank account.	0.0200
	Dep Checks Clearinghouse	503	Fee for each check deposited which is drawn on one of the banks in the local area with which Chase Bank has an agreement to exchange checks directly and without fees.	0.0250
	Dep Checks local City	504	Fee for each check deposited which is drawn on a bank in the same city (as defined by the Federal Reserve) that Chase Bank is located	0.0250
	Dep Checks local City Selects	505	Fee for each check deposited which is drawn on certain designated banks in the same city (as defined by the Federal Reserve) that Chase Bank's depository location.	0.0250
	Dep Checks Local Regional Check Processing Cen	506	Fee for each check deposited which is drawn on a bank in the same Reginal Check Processing Center (RCPC) (as defined by the Federal Reserve) as Chase Bank's depository location.	0.0300
	Dep Checks Local Regional Check Processing Cen	507	Fee for each check deposited which is drawn on certain designated banks in the same Reginal Check Processing Center (RCPC) (as defined by the Federal Reserve) as Chase Bank's depository location.	0.0300
	Dep Checks IN District City	509	Fee for each check deposited which is drawn on Federal Reserve designated city banks located in the same Federal Reserve district as the processing Chase Bank but not in the same Federal Reserve District zone.	0.0500
	Dep Checks IN District Regional Check Processing	510	Fee for each check deposited which is drawn on a bank in a Reginal Check Processing Center (RCPC) in the same Fed district (as defined by the Federal Reserve) as Chase Bank's depository location. This would be a "non-local Regional Check Processing Center" (RCPC).	0.0500

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Dep Checks IN District Country	511	Fee for each check deposited which is drawn on Federal Reserve designated "country" banks that are located in the same Federal Reserve district as the Chase Bank processing location but not in the same zone.	0.0500
Dep Checks National Federal Reserve Bank (FRB)	512	Fee for each check deposited which is drawn on Federal Reserve designated "city" banks located in the Federal Reserve districts other than Chase Bank's district.	0.0550
Dep Checks National Federal Reserve Bank (FRB)	513	Fee for each check deposited which is drawn on a bank outside the Federal Reserve district in which Chase Bank's depository bank location is located. Sometimes also called "Transit"	0.0550
Dep Chks National Federal Reserve Bank (FRB) Se	514	Fee for each check deposited which is drawn on specific banks outside the Federal Reserve Bank (FRB) district in which Chase Bank's depository bank location is located.	0.0550
Checks Encoding	702	Fee for JPMC Proof department encoding the dollar amount of the check in the MICR line of each check deposited.	0.0250
Checks MICR Reject Repair	701	Fee for each check deposited which the dollar amount is pre-encoded by the state agency and the check rejects from the sorter due to a bad MICR encoding quality.	0.1500
Return Items Returned	1435	Fee for each check deposited which is returned as uncollectable due to insufficient funds, account closed, etc. ("NSF").	4.0000
Return Alternate Address	1438	Fee for each Advice or other item sent with the bank statement that is mailed to an address other than bank account address.	3.5000
Return Item Redeposit	1450	Fee for each check deposited which is returned and is redeposited/recleared by the bank for a second attempt to collect on the check.	2.0000
Return Multiple Locations	1437	Per check fee for each check returned on an account with multiple agencies depositing into a single account. Returns are identified by agency through a location number which appears on the deposit slip and in the endorsement.	3.0000
Rtn-Email Notification	1452	Fee for each check deposited which a return check notification is sent to the agency via email	1.0000
Return Detail Reporting	1448	Chase Bank provides a detailed report of checks agencies have deposited which have been returned as uncollectable - insufficient funds, closed account, etc. These reports are sent through an electronic channel (i.e. JPMorgan ACCESS , by direct transmission, etc.)	0.1000
Return Maker Name	1455	Maker's name associated with each return item appears on advice and on Information Reporting (if each check is debited individually). For bank to key in maker name on ACH Re-Presented Check Converted items (RCK). Required so agency will know how to post the return.	0.5000

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Contract date begins 11-01-06

Items added per Amendment #1 - #5

Revised 12/28/10

	<u>BANKING SERVICES</u>	<u>BANK'S CAP CODE</u>	<u>DESCRIPTION OF EACH LINE ITEM</u>	<u>PRICE PER ITEM</u>
	RCK ACH Redeposit	1436	Paper Returned items less than \$2500.00 are converted into ACH debits for redeposit	1.5000
	Return Image	1446	Image of return check, RCK and/or redeposit delivered to customer via electronic transmission or via the iVault! on line service.	1.0000
6	Disbursement Services		This Section includes the clearing of checks issued by state agencies, maintenance of disbursement bank accounts, and processing stop pay requests, requests for images of checks cleared etc.	
	Checks/Debits Posted	2285	Fee for each check issued by the state agency which is paid on a commercial Demand Deposit Account ("DDA") bank account	0.0400
	Check Photocopy Retrieval	1030	Fee for a photocopy of each check issued by the state agency and paid by Chase Bank	1.0000
	Cont Disb Account Maintenance	2205	Monthly maintenance fee for each account set-up on the Control Disbursement Account (CDA) system. A Controlled Disbursement Account is a bank account that provides advance notification of checks presented against the account which notification is used by the Investment Division to determine the amount of cash to leave in the bank account and not use to purchase an investment.	45.0000
	Cont Disb Checks posted	2210	Fee for each check issued by the state agency on a Controlled Disbursement Account.	0.0400
	Overdraft NSF Item Paid	2316	Fee for each check issued by the state agency which is paid by Chase Bank on a bank account that does not have sufficient funds to cover the check paid and the check is considered "NSF".	28.0000
	PWS Electronic Stop Payment (Internet-based Check Services Stop pay and PC Software-based Stop Payment)	6639	Fee for processing a "stop payment" requested by a state agency using the on-line service called the "Payables Web Services (PWS)". (formerly service code #2336)	8.5000
	Stop payment-Manual	2320	Stop payment requested via phone by a state agency.	17.0000
	Range Stop Payment-Electronic	2321	FEE FOR RANGE STOPS PLACED ELECTRONICALLY. Price neutral from electronic stops above	8.5000
	Range Stop Payment-Manual	2322	FEE FOR RANGE STOPS PLACED MANUALLY. Price neutral from manual stops above	17.0000
	Check Cashing Non-Account Holder	1321	Fee for cashing a check drawn on Chase Bank (JPMorgan Chase); <u>This fee has been waived</u> at the teller line.	0.0000

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Revised 12/28/10

	<u>BANKING SERVICES</u>	<u>BANK'S CAP CODE</u>	<u>DESCRIPTION OF EACH LINE ITEM</u>	<u>PRICE PER ITEM</u>
	Stop Pmnt Automatic Renewal	2338	Fee for Chase Bank's automatic annual renewal of a commercial stop payment on a check issued by a state agency.	0.0000
	JPMorgan ACCESS Image Maintenance (Internet-based Check Services Image Maintenance)	6621	A monthly fee for access to the PWS Image Module. This module allows the state agency to view the checks written by the state agency which have not cleared Chase Bank. (formerly service code #3498)	25.0000
	JPMorgan ACCESS Image Retrieve (Internet-based Check Services Image Retrieve)	6645	A fee for each check image retrieved by the state agency from the Bank's "PWS Image Module" (formerly service code #3497)	1.0000
	JPMorgan ACCESS Long Term Image Retrieve	6646	Image requested via PWS on item paid 91 or more days from requested date. Price neutral from 6645 image retrieval above	1.0000
	PWS Image Retention Per Item	3495	Per check charge to keep check images on-line on the PWS Image Retrieval Service. This is the 'retention' option, instead of paying for each retrieval. Both retrieval AND retention are not charged. It is one or the other	0.0300
	PWS Email Exception Notification	6625	Per account charge for option of receiving email notification of Positive Pay exceptions via PWS	10.0000
	PWS Extended Report Retrieval	6637	Charge for PWS report retrieval for reports retrieved past the retention date	\$0.00
	PWS Check Print Maintenance	7772	Monthly module fee for Check Print through Payables Web Service	\$75.00
7	Reconciliation Services		This section covers the functions Chase Bank performs to provide a bank statement to a state agency. This can include supporting statements such as outstanding checks that have not cleared the bank and lists of checks that have been paid. This section includes positive pay, CDs with images of paid checks, and the electronic files sent daily to Treasury and state agencies that are used to reconcile the central depository bank account, the ISIS vendor payment bank account, the unclaimed property claim payment bank account and many state agency disbursement bank accounts. Account Reconciliation Services assist corporate clients in reconciling their bank statements by automating the reconciliation process, and expediting account reconciliation between bank account statements and the client's general ledger. JPMorgan Chase offers a wide variety of Account Reconciliation services designed to provide clients with detailed information regarding disbursement and depository activity. Account Reconciliation Processing products range from a simple listing of paid checks to multiple reports that list detailed information on all checks issued and deposits posted. At the end of the reconciliation period, reports and/or output files are available on the Web, electronically, or on paper reports.	

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Deposit Recon Maintenance	3322	A monthly fixed charge for each bank account with Deposit Reconciliation. Agencies can deposit at different Chase Bank branches into the same bank account and the depositing locations are identified by codes which appear on the deposit slip. Deposit Reconciliation provides reports on deposits by bank location.	35.0000
Deposit Recon Items	3325	Per item fee for each deposit made to a bank account with Deposit Reconciliation services.	0.0500
Positive Pay No Recon-Maint	3268	A per check fee waived by Chase Bank for each check presented against an agency's bank account and compared to the check issue file provided by the agency to the Bank for matching purposes.	0.0000
Payee Name Verification	3228	A fee per check to include payee name as one of the fields verified in the Positive Pay process by Chase Bank.	0.0100
Check Sort by Serial Number	3350	A fee for each paid check sorted in numerical sequence and sent to the agency. The volume for this code should match the Demand Deposit Account (DDA) checks paid volume.	0.0500
Check Serial Sort Maintenance	3351	A monthly fee charged to each bank accounts receiving check serial sorting service.	0.0000
Transaction Image Capture	3386	A fee for each check, miscellaneous Credit or Debit, deposit ticket, bank statement and report which is imaged to a CD disc - (Account Reconciliation Processing (ARP) customers receive checks; misc CR / DR; deposit tickets; statements; and reports. Demand Deposit Account (DDA) only customer have option to have checks; misc DR / CR; deposit tickets and statements burned onto their CD).	0.0200
CD Rom	3384	A fee for a single CD containing images of checks which have posted to the bank account created by Chase Bank and sent to the agency each month.	35.0000
CD Rom Additional Media Fee/Image Duplicate CD	3387	A fee for each additional CD created by Chase Bank and sent to the agency each month. Additional CDs can be extra copies of the original CD per customer request; or additional CDs created due to the image volume for that time period.	15.0000
CD Rom Maintenance	3385	A monthly maintainance fee for each bank account that Chase Bank creates a CD and sent to the agency. This fee covers costs such as set up; billing; technical support group; and applications support.	35.0000
Full Recon Maintenance	3207	A monthly charge for each bank account that receives full reconciliation service.	50.0000
Partial Recon Maintenance	3209	A monthly charge for each bank account that receives partial reconciliation service.	35.0000
Full Recon per Item	3205	A fee for each check paid on a bank account that receives full reconciliation service.	0.0350
Partial Recon per Item	3210	A fee for each check paid on a bank account that receives partial reconciliation service.	0.0300

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	Incoming Trans Without Recon	3269	No charge per transmission for each INPUT transmission without Recon Services	0.0000
	Issue Input File With Recon	3271	No charge per transmission for each INPUT transmission WITH Recon Services	0.0000
	Account Recon Processing Custom Report	3376	No charge for each special Account Reconciliation Processing (ARP) report per month	0.0000
	Account Recon Processing Reject Key Entry	3293	No charge for each rejected item manually keyed by Chase Bank	0.0050
	Data Entry-Manual	3294	No charge for each Account Reconciliation Processing (ARP) item manually keyed in Account Reconciliation Processing (ARP) Operations - issue or void	0.0050
	Output File	3291	A fee for each Transmission sent by Chase Bank to a state agency.	7.5000
	Exception Items	3263	Per Item charge for each exception reported	2.0000
8	Funds Transfer Services		<p>This Section provides for making outgoing electronic payments by state agencies or for the state agencies to electronically receive a payment for deposit. This includes intrabank transfer of funds and interbank transfer of funds between financial institutions. Payments through the Federal wire transfer service are usually for small volume, large dollar amount, same-day payments as compared to payments through the Automated Clearing House Network (ACH) which are usually used for large volume, small dollar amount, future-dated payments.</p>	
	Electronic Book Debit S/T (Electronic Internal Repetitive Tran/Elec Book Debit S/T)	5822	Fee to process a state agency's request submitted to Chase Bank electronically to transfer funds from one Chase bank account to another Chase bank account. Instructions are accurate and the transfer does not require manual intervention by a Chase Bank employee to be released.	5.0000
	Electronic Book Debit Repair (Electronic Outgoing N	5823	Fee to process a state agency's request submitted to Chase Bank electronically to transfer funds from one Chase bank account to another Chase bank account that requires manual intervention by a Chase Bank employee to complete the transaction.	7.0000
	Electronic Fed Debit S/T (Electronic Outgoing Repe	5824	A fee to process a state agency's request submitted to Chase Bank electronically to wire transfer funds from the agency's Chase Bank account to a bank account at another Bank. The wire transfer is processed through the Federal Reserve and does not require manual intervention to be released.	7.0000

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Electronic Fed Debit Repair (Electronic Outgoing No	5825	A fee to process a state agency's request submitted to Chase Bank electronically to wire transfer funds from the agency's Chase Bank account to a bank account at another Bank. The wire transfer is processed through the Federal Reserve and requires manual intervention by a Chase Bank employee to complete the transaction.	7.0000
Electronic CHIP Debit S/T (Electronic Outgoing Rep	5826	A fee to process a state agency's request submitted to Chase Bank electronically which is processed through CHIPS and does not require manual intervention of a Chase Bank employee to complete the transaction. CHIPS stands for Clearing House Interbank Payment System which is a bank-owned payment system for clearing large dollar value payments and can accomodate extensive remittance information for commercial payments.	7.0000
Electronic CHIP Debit Repair (Electronic Outgoing F	5827	A fee to process a state agency's request submitted to Chase Bank electronically which is processed through CHIPS and requires manual intervention by a Chase Bank employee to be processed. CHIPS stands for Clearing House Interbank Payment System which is a bank-owned payment system for clearing large dollar value payments and can accomodate extensive remittance information for commercial payments.	7.0000
Bank Initiated Book Debit	5841	A fee to process a state agency's request submitted to Chase Bank by telephone to transfer funds from one Chase bank account to another Chase bank account.	7.0000
Bank Initiated Fed Debit (Phone Outgoing Non-Rep	5848	A fee charged when a state agency submits a request to Chase Bank by telephone to wire transfer funds from the state agency's bank account to a bank account at another Bank and is processed through the Federal Reserve.	11.0000
Bank Initiated CHIP Debit (Phone Outgoing Non-Re	5849	A fee charged when a state agency submits a request to Chase Bank electronically to wire transfer funds from the state agency's bank account to a bank account at another bank and is processed through CHIPS. (See CHIPS definition above.)	11.0000
Bank Initiated Fed Debit (Phone Outgoing Non-Rep	5842	Debit to customer's account initiated by an internal dept. resulting in a Fed transfer to another bank. New service code... same as 5848 above	11.0000
Bank Initiated CHIP Debit (Phone Outgoing Non-Re	5843	Debit to customer's account initiated by an internal dept. resulting in a CHIPS transfer to another bank. New service code... same as 5849 above	11.0000
Electronic International Debit	5878	A fee charged when a state agency submits a request to Chase Bank electronically for an International wire transfer to a bank in another country.	10.0000

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Bank Initiated Intl Debit (Phone Outgoing Internation	6014	A fee charged when a state agency submits an request to Chase Bank via the telephone for an international wire transfer to a bank in another country.	11.0000
Book Credit	5886	No fee is charged for an incoming transfer of funds from another Chase bank account.	0.0000
Fed Credit S/T (Incoming Wire)	5887	A fee charged for an incoming wire transfer received from another bank via the Federal Reserve system and processed by Chase Bank without a bank employee's intervention.	7.0000
Fed Credit Repair	5888	A fee charged for an incoming wire transfer received from another bank via the Federal Reserve system that required a Chase Bank employee's intervention to post accurately.	7.0000
CHIPS Credit S/T (Fed Credit S/T)	5889	A fee charged for an incoming wire transfer received from another bank via CHIPS and processed without a Chase Bank employee's intervention. CHIPS stands for Clearing House Interbank Payment System which is a bank-owned payment system for clearing large dollar value payments and can accomodate extensive remittance information for commercial payments.	7.0000
CHIPS Credit Repair (Fed Credit Repair)	5890	A fee charged for an incoming wire transfer received from another bank via CHIPS that requires a Chase Bank employee's intervention. CHIPS stands for Clearing House Interbank Payment System which is a bank-owned payment system for clearing large dollar value payments and can accomodate extensive remittance information for commercial payments.	7.0000
Mail Debit Advice (Mail Advice)	5927	A fee charged for a notification by Chase Bank to the state agency of an outgoing wire transfer sent to the requesting state agency via U. S. mail.	2.5000
Mail Credit Advice (Mail Advice)	5928	A fee charged for a notification by Chase Bank to the state agency of an incoming wire transfer sent to the requesting state agency via U. S. mail.	2.5000
DIRECT DELIVERY WIRE NOTIFY1-2	5933	A fee charged for a each notification sent by Chase Bank to multiple state agency addressees on same-day notification of wire transactions. The notification can be sent by Chase Bank via Fax; Encrypted e-mail; Unencrypted e-mail or Pager (each transaction can be sent to multiple individuals/addresses or by multiple media avenues to the same person).	1.5000
SOFTI Standard Calculation	5443	Standing Order Financial Transaction Instructions. Transfers funds; wire or ACH if predetermined conditions are met. The transaction function allows the State to select a combination of calculation; date; time; and settlement parameters to best accommodate the State's business needs. This charge is applied each time a calculation is scheduled.	8.0000

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	<u>BANKING SERVICES</u>	<u>BANK'S CAP CODE</u>	<u>DESCRIPTION OF EACH LINE ITEM</u>	<u>PRICE PER ITEM</u>
	SOFTI NonStandard Calculation	5444	Standing Order Financial Transaction Instructions. Transfers funds; wire or ACH if predetermined conditions are met. The transaction function allows the State to select a combination of calculation; date; time; and settlement parameters to best accommodate the State's business needs. This charge is applied to subset of calculations that were developed by technology based on specific client requests	16.0000
9	Automated Clearing House		ACH stands for Automated Clearing House Network. The Automated ClearingHouse is a network of participating financial institutions that process the transfer of funds electronically between other member financial institutions of the network for their customers. Examples of ACH payments made for their customers include: Direct deposit of payroll, payments of consumer bills such as mortgages, utility bills etc. Chase Bank is a participating bank and receives requests from state agencies to make electronic payments to vendors, clients etc. and Chase Bank receives and posts to the state agencies bank accounts electronic payments received through the ACH network.	
	JPM Access ACH Maintenance	2746	A monthly fee charged to a state agency to use the "JPMorgan ACCESS ACH Services" to originate ACH transactions - The "JPMorgan ACCESS ACH Services" is an internet based service which allows a state agency to request a disbursement in the form of an electronic transfer of money from the state agency's bank account to the bank account of the payee.	45.0000
	Debit Originated-JPM Access	2744	A fee for each ACH debit transaction originated by a state agency via "JPMorgan ACCESS ACH - web-based service".	0.1400
	ACH Debits\Credits Originated	2700	A fee for each ACH transaction (debit or credit) originated by a state agency via direct transmission.	0.0300
	Credit Originated-JPM Access	2742	A fee for each ACH credit transaction originated by a state agency via "JPMorgan ACCESS - web-based service".	0.1400
	Addenda Records	2796	A fee for each addenda record attached to an ACH debit or credit record. An addenda record provides information such as found on a check stub or invoice.	0.1000
	ACH Return Email Notification	2810	A fee charged when Chase Bank notifies a state agency via email that an ACH payment requested by a state agency is returned to Chase Bank because it cannot be processed.	1.5000
	Return Item Notif-Transmission	2818	A fee charged when Chase Bank notifies a state agency via direct transmission that an ACH payment requested by a state agency is returned to Chase Bank because it cannot be processed.	1.5000

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<u>BANKING SERVICES</u>	<u>BANK'S CAP CODE</u>	<u>DESCRIPTION OF EACH LINE ITEM</u>	<u>PRICE PER ITEM</u>
ACH Return US Mail Notif	2820	A fee charged when Chase Bank notifies a state agency via U.S. mail that an ACH payment requested by a state agency is returned to Chase Bank because it cannot be processed.	1.5000
Return Item Notification-Fax	2821	A fee charged when Chase Bank notifies a state agency via fax that an ACH payment requested by a state agency is returned to Chase Bank because it cannot be processed.	1.5000
File Processing	2765	A fee charged a state agency for processing a file containing ACH transactions originated by a state agency and delivered to bank via direct transmission.	10.0000
ACH Deletion	2710	A fee for deleting ACH transactions originated by a state agency in error.	0.0000
ACH Reversals	2735	A fee for reversing ACH transactions originated by a state agency in error.	5.0000
Debit Originated	2705	Fee for ACH Debit Item Originated via RCK representment process	0.0300
Return Item Redeposit	2740	Redeposits of return items via RCK representment process	0.3000
Return Item	2720	Fee for ACH Return Items via RCK representment process	1.5000
ACH Originated - Paper Check	2727	Printing of ACH Pre-Authorized Check Draft	1.5000
Debit Block Maintenance	2860	A monthly product fee for each account setup by Chase Bank for ACH Debit Authorization (ADA). ADA is a service for preventing unauthorized ACH debit transactions from posting to a state agency's bank account.	10.0000
ACH Ada Authorized ID	2861	Fee for maintaining each authorized ACH debit originator record on file. For each bank account with ACH Debit Authorization (ADA), Chase can filter transactions and only allow ACH debits which have been preapproved by the state agency to post to a bank account.	0.5000
ACH Email Notification of Change	2809	A fee charged to notify a state agency via email of changes that need to be made to ACH instructions originated by the state agency.	1.5000
ACH Noc Trans Reporting	2814	A fee charged to notify a state agency via direct transmission of changes that need to be made to ACH instructions originated by the state agency.	1.5000
ACH US Mail Notification	2811	A fee charged to notify a state agency via U. S. mail of changes that need to be made to ACH instructions originated by Agency.	1.5000

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	Notification of Change-Fax	2812	A fee charged to notify a state agency via fax of changes that need to be made to ACH instructions originated by Agency.	1.5000
10	Electronic Data Interchange		Data can be communicated between state agencies and Chase Bank electronically. An electronic file includes the Automated Clearing House (ACH) file and an addenda file that accompanies the ACH file that provides detail information regarding the payment such as a pay stub or invoice.	
	P\$ Monthly Maintenance	2641	Pay\$stream - Monthly maintenance for originating payments using an EDI transaction set.	175.0000
	R\$ EDI Receiving Monthly Maint	2642	Receipt\$stream - Monthly maintenance for receiving incoming payment advising via direct transmission or an EDI transaction set.	50.0000
	P\$File Processing	2646	Pay\$stream - Processing of an EDI formatted payment file. Billed per EDI envelope (ISA/IEA segments).	10.0000
	EDI Transmission Items	2647	Pay\$stream - Billed per each ACH credit payment originated using an EDI transaction set.	0.1200
	Pay Stream Payment Addenda	2664	Pay\$stream - remittance detail that accompanies an EDI formatted payment and is placed in ACH addenda records as part of a CTX or CCD+ ACH transaction. Approximately one addenda for each 80 characters of EDI data.	0.0200
	Pay Stream EDI Payment Orig Debt	4205	Pay\$stream - billed per each ACH debit originated using an EDI transaction set.	0.1200
	EDI Detailed Report-JPM Access	2631	Receipt\$stream formatted report at the remittance detail level delivered through CUR. Additional channel charges may apply.	2.0000
	Receipt Stream Segment Fee	2669	Receipt\$stream direct transmission per segment charge. Segments charged include RMR; RMT; BPR; BPS; TXP; N9; BYL; LX; ADX.	0.0300
	R\$ EDI Fax Report	2661	Receipt\$stream - human-readable formatted report delivered via fax. Can be set up at the payment level (Summary) or remittance detail level (Detail); detail is the default set up.	2.0000

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	Receipt Stream File Processing	4211	ReceiptStream - direct transmission of an EDI formatted payment advising file. Billed per EDI envelope (ISA/IEA segments).	10.0000
	Receipt Stream EDI Rptg Segment-NACHA	4230	ReceiptStream - direct transmission of incoming ACH payment advising maintaining the NACHA enveloping and formatting. Billed per ACH record.	0.1000
	Receipt Stream EDI Email Report	4218	ReceiptStream - human-readable formatted report delivered via email. Can be set up at the payment level (Summary) or remittance detail level (Detail); detail is the default set up.	2.5000
11	Information Services		This section is used by state agencies to receive up-to-date transaction information affecting the state agencies' bank accounts including balances, dollar amounts deposited, checks issued, checks cleared, etc. The information is provided by electronic file or on-line bank software termed "The One-Net" or "Access".	
	BAI2 File Transmission Acct	3642	A monthly maintenance fee for each bank account that Chase Bank provides, by direct transmission, a BAI file to the state agency. A BAI (Bank Administration Institute) file contains standardized coding for bank transactions. As a standardized file, the BAI file can be accepted and uploaded directly into the accounting software.	50.0000
	BAI2 File Transmission Item	3658	A per transaction fee for reporting debit/credit activity on accounts in BAI format via direct transmisson to the state agency.	0.0600
	Direct Transmission Per Account Charge	6085	Per Account fee for accounts setup for JPMorgan ACCESS Direct Transmission. New service code, same as 3642 above	50.0000
	Direct Transmission per Check Item Charge	6093	Per Item Fee for Prior Day Check Transactions loaded for JPMorgan ACCESS Direct Transmission. New service code, same as 3658 above.	0.0600
	Direct Transmission Prior Day Extended Detail	6094	Fees for Prior Day Transaction Extended Detail loaded for JPMOrgan ACCESS Direct Transmission. New service code, same as 3658 above.	0.0600
	Direct Transmission Prior Day Transactions	6095	Per Item Fee for Prior Day Transactions loaded for JPMorgan ACCESS Direct Transmission. New service code, same as 3658 above.	0.0600
	Outgoing Data Exchange Item	3730	Per transaction fee for reporting debit/credit activity on accounts via transmission to another bank.	0.0600
	Outgoing Data Exchange Acct	3731	A fee per bank account in which Chase Bank provides reporting balances and debit/credit activity on the agency's bank account via direct transmission to another bank on a next-day basis.	10.0000

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Business Fax Prior Day Acct	3791	A monthly fee per bank account in which Chase Bank provides balances and debit/credit activity via fax to the state agency on a next-day basis.	0.0000
		JPMorgan ACCESS is an on-line service that allows a state agency to view balances and detail transactions posted to their bank account, to request stop pay of a check issued, to request special reports etc.	
JPMorgan ACCESS Internal Transfer Maintenance (PC Software-based Internal Transfer Maintenance)	3172	A monthly fee per bank account in which Chase Bank provides access to the Internal Transfer module of JPMorgan ACCESS on-line communication service between the state agency and Chase Bank. Internal transfers are transfers from one Chase bank account to another Chase bank account.	0.0000
JPMorgan Access Subscrip Fee	6087	A monthly subscription fee for JPMorgan "ACCESS" - "ACCESS" is a single sign-on, web-based, modular cash management tool.	0.0000
JPMorgan Access Maint Fee (per customer ID)	6090	A fee assessed per user for each JPMorgan "ACCESS" module subscribed to.	0.0000
JPMorgan Access Acct Maint Fee - 10 Day History (Software-based/PC Software-based Previous Day Account and Intraday Account)	6074	A per bank account fee for providing balances and debit/credit activity on bank accounts via JPMorgan "ACCESS". Activity includes both next-day and same -day reporting. 10 days of history accessible on-line.	10.0000
JPMorgan Access Acct Maint Fee - 45 Day History (Software-based/PC Software-based Previous Day Account and Intraday Account)	6067	A fee per bank account for providing balances and debit/credit activity on accounts via JPMorgan "ACCESS". Activity Includes both next-day and same -day reporting. 45 days of history accessible on-line.	15.0000
JPMorgan Access Acct Maint Fee - 90 Day History (Software-based/PC Software-based Previous Day Account and Intraday Account)	6068	A fee per bank account for providing balances and debit/credit activity on accounts via JPMorgan "ACCESS". Activity includes both next-day and same -day reporting. 90 days of history accessible on-line.	25.0000
based/PC Software-based Previous Day Item and Intraday Item)	6079	A per transaction fee for providing debit/credit activity on bank accounts via JPMorgan "ACCESS". Activity includes both next-day and same-day reporting.	0.0600
JPMorgan Special Report Fee - per customer ID (Software-based Special Report Maintenance)	6099	A base fee for providing access to Special Reports via JPMogan "ACCESS". Special Reports are various text reports including reports on incoming ACH transactions, ACH returns, Lockbox reports, etc.	35.0000
ACH Returns Report Fee - per report (Software-based Special Report Line)	6100	A per report fee to provide text information via the Special Reports module of JPMorgan "ACCESS".	15.0000
Chase Commercial Online Standard Monthly Service	6750	Standard online service level monthly service fee. Less sophisticated online reporting service for smaller agencies instead of JPMorgan ACCESS. File limit and per account limitations.	25.0000

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	Chase Commercial Online Premium Monthly Service	6751	Premium online service level monthly service fee. Less sophisticated online reporting service for smaller agencies instead of JPMorgan ACCESS. File limit and per account limitations.	50.0000
12	International Services			
	Foreign Check Deposit (CAD)	3941	A fee for sorting; balancing; clearing and calculating exchange rate adjustments for checks deposited by a state agency which are drawn on Canadian banks; denominated in either US or Canadian dollars. Canadian items receive 3 days availability.	0.0000
	Foreign Check Return (CAD)	3947	A fee for processing a Canadian check deposited by a state agency which has been returned by the bank the check was drawn on unpaid.	0.0000
	Other Charges and Credits			
	Misc. Svces-Lbx Rental Fee(1)			0.0000
	Misc. Svces-Lbx Rental Fee(2)			0.0000
	Misc. Services-Ck Deposit Slip			0.0000
	Implementation and Special Programming Fees (per hour)			100.0000
13	Check Conversion Service		Image Deposit Direct (IDD) formally known as "Check Conversion Service" is the bank's remote deposit solution. IDD is an end-to-end hardware, software and processing solution for eliminating all paper check payments at branch locations, drop boxes, and internal retail and consumer lockboxes. JPMorgan Chase's technology enables clients to scan their checks, securely store them at a web-accessible archive, convert consumer checks into ACH transactions, and submit the images to JPMC processing centers for deposit and clearing. Only consumer checks are eligible for conversion to ACH. Corporate checks are cleared in one of 2 ways: 1) reprinted as IRD's (Image Replacement Documents) at sites in close proximity to the clearing bank in order to expedite the clearing process; or 2) cleared through an image exchange with banks that have this capability. IDD programs are set up as either all IRD or as a combined program with ACH. The type of program is determined by the mix of consumer and corporate payments. The Department of Insurance was the first state agency to use this service to speed up the deposit of checks and allow Chase Bank to provide deposit information that can be used to update	

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	DPC Maintenance	1152	A monthly maintenance fee for each account setup with a combined IDD program of IRD's (image replacement document) and ACH (Automated Clearing House Network).	20.0000
	DARC Item Originated (Check Conversion Service)	1132	Items originated through the ACH system from the distributed capture product. Fee includes capture of item; processing item; and ACH fees.	0.0900
	IRD Creation (Check Conversion Service)	2697	A fee for the creation of of an IRD (Image Replacement Document - as defined by Check 21 Legislation) check which substitutes in cases where the original transaction cannot be converted to an ACH transaction or settle via other means with the bank the check is drawn against.	0.1400
	DPC ACH Return - Paper Notification (ACH Return	1149	A fee to notify the state agency via mailed paper report of an ACH return.	1.5000
	DPC Maintenance	548	A monthly fee per account with IDD - all IRD program.	20.0000
	IDD Cleared by IRD (Check Conversion Service)	541	A per item fee for accounts with IDD and checks reprinted as IRD's for clearing.	0.1400
	IDD Cleared via Image Exchange (Check Conversio	542	A per item fee for each each processed with IDD and checks cleared through an Image Exchange.	0.1400
	Quick Deposit Monthly Service Fee	6767	Monthly maintenance for the Quick Deposit service per profile. Agencies on Chase Commercial Online that require remote deposit (instead of IDD for agencies with JPMorgan ACCESS)	100.0000
	Quick Deposit Per Deposited Item Fee	6768	Quick Deposit per item fee	0.1500
	Quick Deposit Monthly Maintenance Per Scanner	6765	Quick Deposit maintenance charged per scanner	20.0000
14	Direct Pay Card Services - Cardholder Fee Schedule			
	Account Enrollment			No fee
	Account Maintenance			No fee
	ATM Withdrawal at a Chase ATM			No fee
	ATM Surcharge at a Chase or Allpoint ATM			No fee
	Point-of-Sale PIN-Based Transaction			No fee
	Point-of-Sale Signature-Based Transaction			No fee
	Account Statement ¹			No fee

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Telephone Balance Inquiry			No fee
Web Balance Inquiry			No fee
ATM Balance Inquiry (per transaction)			0.5000
ATM Withdrawal at a non-Chase ATM ²			One free transaction per deposit;
Transaction Denied for Insufficient Funds			0.5000
Customer Service			automated voice response,
Cash Advance at Chase or other Participating Visa Member Banks ³			One free transaction per deposit; \$5.00 per transaction
Inactive Account - charged after an account has been inactive for 365 consecutive days			1.5000
Card Replacement			card replacement per calendar year;
Card Delivery by US Mail			No fee
Card Delivery by Overnight Courier (per card)			10.0000
International ATM Withdrawal (per transaction)			3.0000
International Balance Inquiry			1.0000
Currency Conversion			3% per foreign currency

¹Statements may not be provided if a Chase Card Account becomes inactive. Chase would like to discuss with you the option of offering electronic account statements (over the web or by phone) as a default

²Some ATM owners and operators may charge an additional fee per transaction. There are no surcharges at Chase and Allpoint ATMs.

³Some banks may charge an additional fee per transaction.

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Minimum Account Administration Fee			5,000.0000
Annual Account Administration and Investment Advisory Fee Schedule			
		Investment Advisory	
First \$1 million	0.65%		0.60%
Next \$2 million	0.50%		0.50%
Next \$2 million	0.40%		0.40%
Next \$5 million	0.30%		0.30%
Next \$5 million	0.25%		0.25%

If the account is invested in affiliated funds, like the JPMorgan Funds, the account will be charged an Account Administration Fee. If your account holds investments such as individually managed securities, non-affiliated funds, exchange traded funds (ETF), funds managed by an outside investment manager, common trust funds or other investments, the account will be charged an Account Administration Fee and an Investment Advisory Fee, in addition to any fees charged by outside managers of these investments.

Tax Preparation Fee (per trust tax return prepared including filing quarterly estimates)	490.0000
Account Closing Services - minimum fee per account	500.0000

Additional fee may be charged based on the complexity of the termination not to exceed 1% of the account's market value.

15 Collateral /Safekeeping

Safekeeping	3/10 of 1 basis point of market value of portfolio
Transactions per trade	3.5000
Accounting per account	3,500.0000
Performance Management per account using performance management services	3,000.0000

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Investment Products (Collateral Management)			1 basis point of market value of repo portfolio

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16	CHASE PAYROLL CARD			
	CLIENT FEES:			
	Program maintenance			No fee
	CARDHOLDER FEES:			
	Monthly Account Maintenance			No fee
	Over-the-counter withdrawal at any bank which accepts VISA			1 free per day dep for each additiona
	ATM Withdrawal- Domestic			at Chase and Allp only \$2.00 for each ad withdrawal theraft non-Chase/non-Al
	Point-of-Sale PIN-Based Transaction			No fee
	Point-of-Sale Signature-Based Transaction			No fee
	ATM Balance Inquiry (per transaction)			1.25
	ATM or POS Decline			1.25
	CARDHOLDER MISC FEES:			
	Monthly Statement online			No fee
	Monthly Statement - paper			1.00
	Customer Service Inquiry via Live Representative, Automated Voice Response or via MyAccount.com			No fee
	Inactive Account - charged after an account has been inactive for 365 consecutive days			5.00
	Card Replacement			\$15.00 per card; no charge for expired cards

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	Expedited card delivery			\$25.00 per card
	Check issuance to close account			\$12.00 per check
	Negative Balance fee			\$15.00 per incider
	ATM Withdrawal International			\$3.00 per transaction
	ATM balance inquiries: International			\$3.00 per transaction
	Foreign Exchange Fee			3.50% if tge value oversees transact

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17	Image Cash Letter Check Clearing (ICL)		Image Cash Letter (ICL) is a form of deposit for agencies that have the equipment and technology to create a NACHA formatted X9 image file. It is a form of electronic deposit/check conversion similar to IDD, except the agency has the technology to create a Fed compliant image file for clearing. Items can be cleared as an Image Replacement Document (IRD) or converted to an ACH through the check conversion process	
	ARC Swap of Data	1113	Overlay proper ACH clearing data	0.0100
	DPC Electronic Resubmit	1147	ACH items which have been returned for insufficient or uncollected funds may be resubmitted a second or third time to collect the monies.	0.4000
	DPC ACH Return	1155	Items originated and returned for various return reasons (i.e. account closed; insufficient funds; account not found)	0.5500
	Dep Checks On Us Premium	746	Image On Us item processed with same day ledger credit	0.0300
	Dep Checks On Us Standard	520	Image On Us item processed with next day ledger credit	0.0150
	IRD Period One	521	Image cleared via Image Replacement Document (IRD) - Received between 6:01am - 7pm ET	0.0500
	IRD Period Two	522	Image cleared via IRD - Received between 7:01pm - 12am ET	0.0700
	IRD Period Three	747	Image cleared via IRD - Received between 12:01am - 6am ET	0.1100
	Image Group One	519	Image cleared as an image through a third party	0.0300
	Image Group Two	526	Image cleared as an image through JPMorgan Chase Image Network	0.0250
	Non Conforming Image Items	758	Non Conforming Image (NCI) Image Item failing Image Quality Analysis test and failing further inspection (for deposits made through Image Cash Letter)	5.0000
	ACH Eligibility Testing/Filter	760	Item passed through ICL Gateway and acceptability testing and passed to ACH for clearing	0.0050

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	Image Quality Suspect Items	757	Image item failing Image Quality Analysis test and forwarded for manual review		0.2500
	File Transmission -- FTP	523	Image Cash Letter processing fee for Image File received and processed via FTP with encryption.		150.0000
	ICL Deposit Standard	756	Deposit Credit for an ICL file received between 7:00 pm and 11:59pm ET		0.2500
	ICL Deposit Late	748	Deposit Credit for an ICL file received between 12 am and 3:00 am ET		0.2500
	ICL Deposit Early	759	Deposit Credit for an ICL file received between 3:01am and 6:59pm ET		0.2500

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18	Check Printing Services			
	PWS Per Check Print	3472	Check Outsourcing fee for printing one check drawn on JPMC. (Amendment #5 7-01-10)	\$0.20
	PWS Per Additional Page Print	3475	Check Outsourcing fee for printing each additional statement page	\$0.13
	PWS Check Print File Upload Fee	7773	Check outsourcing per file upload fee	\$10.00
	Check Print Postage-Domestic	3487	Postage charge for every Check Outsourcing item mailed to a US address	Pass thru rate for
	Check Print Postage-Foreign	3488	Postage charge for every Check Outsourcing item mailed to a Foreign address	Pass thru rate for
	Image Transmission Per Item	3377	Scheduled delivery of a batch of cleared check images in a file to a customer. Per item delivered fee. (Dept of Reve	\$0.02
	Image Transmission Per Trans	3378	Scheduled delivery of a batch of cleared check images in a file to a customer. Per transmission fee. (Dept of Reve	\$25.00